

### Amendment to the Claims

In accord with the forgoing rules and in response to the Examiner's request, applicant submits the following second amendment to the application on file.

5

Please amend the prior amendment of 31 Jan 06 and application on file as follows:

Cancel claims 1 through 20 and add new claims 21 and 22 as follows.

#### In the Claims:

1. **(canceled)** The use of an optical disc commonly referred to as "Compact Disc" or digital  
10 Versatile Disc" that contains an embedded and encrypted digital signature for the use of signing  
legal and financial transactions over a networked environment or over the Internet.
2. **(canceled)** The storage of a digital signature or digital certificate on a CD-ROM, CD-R, CD-  
RW or DVD disc for purpose of making the digital signature portable for use on several different  
computers without expert knowledge in the field of digital certificates.
- 15 3. **(canceled)** The storage of a digital signature on a compact or DVD disc in encrypted form for  
the reasons of providing security.
4. **(canceled)** The use of more than one digital signature on a single disc for the purpose of  
providing new digital signatures on a rotating or annual basis.
5. **(canceled)** The use of more than one digital signature on a single disc for the purpose of  
20 providing immediately available additional digital signatures in the event that the current digital  
signature is compromised and can no longer be used in a secure manner.
6. **(canceled)** The method of incorporating the date as part of the encryption key for protecting  
the digital signature. The date is added as a portion of the password or pass phrase to form a new  
password. The new unique password or pass phrase is then used to decrypt a new digital

signature. The date can appear as either some numeric form of the date or as an obscured pass phrase or worded term.

7. **(canceled)** The use of a software module to decrypt the digital signature contained on a compact disc or other form of media in a manner such that secure data to access the digital

5 signature is entered and processed locally without allowing the entered password or pass phrase to leave the local computer memory.

8. **(canceled)** The use of downloadable software components for the purpose of decrypting the digital signature. The source of the software components may be provided locally by residing on the disc containing the digital signature, as part of a third party software package or provided

10 from a remote computer via a network environment.

9. **(canceled)** The use of downloadable software components for the specific purpose of aging the digital signature or certificate with or without the user's knowledge. Such components would seek out the next available digital signature or certificate on an automatic basis.

10. **(canceled)** The use of the password or pass phrase to provide the key for encrypting the

15 digital signature thus ensuring that the actual digital signature is never entered as data to the local computer.

11. **(canceled)** The use of multiple passwords or personal identification number values to determine which of the multiple digital signatures will be accessed.

12. **(canceled)** The presentation of a scanned digital image of the user's signature as

20 representation that the digital signature has been accessed properly and has been placed on the electronic document.

13. **(canceled)** The use of providing a public key along with the document to ensure the public key is never lost and to provide easy access to the public key. The public key must be used to verify the authenticity of a document that was guarded through the use of a private key.
14. **(canceled)** The recording of the digital signature data block a multitude of times on the storage media to provide recovery in the event that one or more images of the digital signature cannot be read from the media.
15. **(canceled)** The use of the invention to identify to a high degree the owner of the invention for the purpose of cashing payroll or personal checks over the Internet.
16. **(canceled)** The use of the invention for the purpose of identifying the user for certified mail concepts involving electronic mail.
17. **(canceled)** The use of the invention to allow the printing of transferred checks that are delivered over the internet.
18. **(canceled)** The use of the invention as a form of identification for the purpose of conducting income tax transactions and receiving and printing refund checks or transferring the refund monies from one account to another.
19. **(canceled)** The use of the invention as a key to access personal computers. The key is created by placing the invention into the CD or DVD drive normally found on portable computers and allowing the computer's BIOS or startup code to access the invention to ensure the proper user prior to allowing the rest of the operating system to be loaded.
- 20 **Claim 20. (canceled)** The use of the invention as a key to access computers that are being delivered from the manufacturer to the buyer. The invention is shipped separately, such as by US mail, while the computer is sent by truck or air. Should the computer become lost or stolen, it is

useless without the invention to start it up. This in turn would make the attempts to steal or hijack such shipments less desirable to thieves.

**Claim 21. (new)**

A secure identification process for networked environments, comprising the steps of:

- 5       • capture a photograph of a requester;
- capture a thumbprint of said requestor;
- capture a cursive signature of said requestor;
- request said multiple signatures from said CA via said on line process;
- request a pass phrase from a user;
- 10      • encrypt said photograph, thumbprint, cursive signature, and each CA with multiple pass phrases as a key;
- record data on a media multiple times;
- provide user with said media;
- prepare a document for signing;
- 15      • request said user to insert said media in a compact disc (CD) and digital versatile disc (DVD) drive;
- request said pass phrase from said user;
- use said pass phrase to decrypt said recorded data;
- if decryption is not valid, return to "request pass phrase from user step" above;
- 20      • if decryption is valid, access said recorded data for signature;
- wrap said prepared document with said received signature;
- attach a public key to said prepared document;

- verify identify of a processor; and
- send said prepared document to said processor.

**Claim 22. (new)**

A secure identification process for networked environments, comprising the steps of:

- 5      • capture data from a requester;
- request and generate a pass phrase;
- attach multiple rollover dates to said pass phrase;
- request multiple signatures from a certificate authority (CA) via an online process;
- receive said multiple signatures from said CA via said online process;
- 10     • combine said signatures with user data;
- encrypt each said signatures from said CA via said online process;
- combine said signatures with user data;
- encrypt each said signature and user data with a different combined pass phrase;
- record said user data on media multiple times;
- 15     • print a label for front of media;
- provide said user with said media;
- prepare a document for signing;
- request said user to insert said media in a compact disc (CD) and digital versatile disc (DVD) drive;
- 20     • request said pass phrase from said user;
- add a rollover date to said pass phrase;
- decrypt said data;

- if decryption is not valid, return to “request pass phrase from said user” step above;
- if decryption is valid, access data for signature;
- wrap said prepared document with said signature;
- attach a public key to said document;
- 5 • verify identity of a processor; and
- send said document to said processor.

10

15

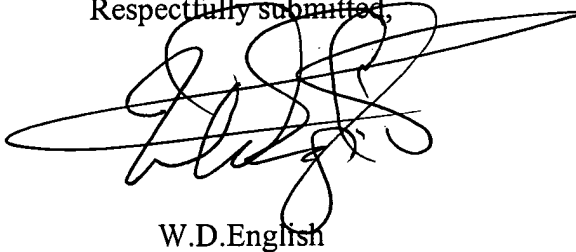
20

### Conclusion

Applicant has complied with the Examiner's request by clearly canceling claims 1 – 20 and clearly adding new claims 21 and 22, and, although unnecessary according to the rules, has delineated all canceled claims in full as well as the two new claims. In addition, applicant has  
5 complied with 37 CFR 1.4 by beginning each section of this response/amendment on a separate page.

Applicant urges that the application is now in condition for examination and allowance with a pair of relatively narrow claims clearly supported by the specification and drawing. Applicant urges that the application be submitted for Allowance and Issuance in due course.

10 Respectfully submitted,

A handwritten signature in black ink, appearing to be 'W.D. English', written over the text 'Respectfully submitted,'.

W.D.English

Attorney at Law

15 Registration # 30746